

ESOS Newsletter

Issue 1 March 2020

Welcome to the Energy Savings Opportunity Scheme (ESOS) newsletter, keeping you updated with key information relating to the scheme. In this issue:

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Compliance Deadline

The compliance date for Phase 2 is given in The ESOS Regulations 2014 as the 5th December 2019. This is a fixed deadline.

There is no intention amongst the UK Regulators of ESOS to take a position, as was the case in Phase 1, where extra time was allowed for submissions before enforcement action was considered.

All qualifying organisations that did not complete an ESOS Assessment and submit a compliance notification using the Online Portal by the compliance deadline (5 December 2019) are currently at risk of enforcement action.

The period of time by which such organisations are late, and their efforts to comply, will be taken into consideration when determining any applicable enforcement action.

As such, we would advise that they complete their ESOS Assessment and notify us of compliance as soon as possible.

Please remember you are required to keep an evidence pack of all the work you did to complete your ESOS commitments.

A number of penalties have been issued for failures in Phase 1 compliance ranging up to £45,000 with a potential maximum of £90,000.

Compliance Enforcement

The Regulators are currently issuing compliance notices to all corporate groups in the UK where we believe they may be required to participate in ESOS but we do not have a record of their having completed a notification.

The compliance notice requires the organisation to inform the regulator that they are in the process of completing their ESOS obligations or provide evidence that they have already submitted a notification or do not qualify for ESOS.

ESOS Compliance Audits

We are continuing our programme of compliance audits for ESOS participants.

All compliance audits are now being carried out on Phase 2 evidence packs. As we gather information from these audits we will publish further information in future ESOS Newsletters on areas where participants are finding difficulties.

Please find attached a copy of the ESOS Audit Need to Know Guide for your information

Publication of ESOS Submissions

We have published a list of all the organisations who have made a submission to the ESOS notification system as of 1 February 2020. This can be found at <https://data.gov.uk/dataset/15eb8228-32e4-40e1-b722-b2efe571edd3/energy-savings-opportunity-scheme>

ESOS Evaluation

An evaluation of ESOS carried out on behalf of BEIS has been published and can be found at <https://www.gov.uk/government/publications/energy-audits-and-reporting-research-including-the-energy-savings-opportunity-scheme>

ESOS Notification System

The new notification system for Phase 2 of ESOS is now available. This has replaced the Phase 1 notification system, which is closed. The Phase 2 notification system is available at <https://www.gov.uk/guidance/energy-savings-opportunity-scheme-esos>

If you need to make a Phase 1 notification please contact the ESOS helpdesk at ESOS@environment-agency.gov.uk

Customer Survey

Please help us to improve our service to you

As a customer your opinion really does matter to us. We are committed to improving our customer service and take all your feedback seriously. Please help us to improve our service by completing our [ESOS Customer survey](#) anytime you have any interaction with us.

www.gov.uk/energy-savings-opportunity-scheme-esos